



HKICPA seminar: The Insolvency Regime for Accountants

Programme Code: S150713S

This seminar will provide a practical perspective of the role of accountants in the insolvency regime, including how an accountant is appointed as a liquidator, and the duties and risks of an accountant as a liquidator in insolvency. In particular, there will be discussions of the chances of an accountant being summoned by Court for examination, the claims against an accountant for the breach of fiduciary duties and the disqualification of an accountant as the director of a company. Examples will be given in specific contexts such as in tender cases. The practical question as to whether an accountant liquidator should be sued by the company or its shareholders who had suffered loss will also be answered. Finally, in the event that an accountant becomes involved in a disciplinary proceeding, due care must be taken in handling it. This seminar will draw attention to how an accountant should deal with disciplinary proceedings, and how the results of the disciplinary proceedings can be challenged.

Date Monday, 13 July 2015

Time 6:30 p.m. – 8:30 p.m.

Venue Hong Kong Institute of CPAs,
27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.

Format Seminar

Language English

Fee HK\$190 for HKICPA member or student; and IA/ HKIAAT member or student
HK\$180 for online enrolment
HK\$330 for non-member

Objectives

- Understand the role of accountants in the insolvency regime
- Be familiar with the duties of an accountant as a liquidator
- Know about the risks of an accountant as a liquidator so as to minimize the chances of adverse legal actions
- Learn about the procedures of disciplinary proceedings and the challenge of their results

Speakers

Andrew Mak, Barrister from Sir Oswald Cheung's Chambers
After obtaining his BSc, LL.B. and MBA Mr. Mak pursued with his interest in law for an LL.M. from the London School of Economics and Political Science. He then practised as a barrister in a wide variety of civil practice cases. He was called to the Bar of England and Wales in 1988 and Hong Kong in 1989. In 2007 he received accreditation from CEDR as a mediator. He has been active in public service in sitting in a number of Appeal Boards including the Inland Revenue Board of Review, Licensing Appeals Board, Disciplinary Appeal Board of the Confederation of Insurance Brokers. He is author to "Disciplinary and Regulatory Proceedings in Hong Kong" 1st and 2nd Edition. In addition, he has been lecturing in the Peking University and East China University of Political Science and Law.

Carol Wong, Barrister from Sir Oswald Cheung's Chambers
After graduating from the University of Hong Kong with the Bachelor of Laws, Ms. Wong obtained the Master of Law from the University of Cambridge as a British Chevening Scholar. She then joined the Bar and was one of the Bar Scholars in 2012, a title bestowed upon the most promising barristers among those newly qualified. She has a predominately civil practice. She is also a CEDR Accredited Mediator and a Member of the Chartered Institute of Arbitrators. In addition, she has lectured in Peking University and East China University of Political Science and Law.

Participants Accountants, auditors and anyone who may be appointed as liquidators

Competency Insolvency

Rating Foundation Level (Please refer to the [Institute's online CPD Learning Resource Centre](#))

CPD hours 2



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just log on to "My CPA" at
<http://www.hkicpa.org.hk>**

HKICPA Event Enrolment Form (For Support Programme)

Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

**Please click here for online enrolment
(for HKICPA members only)**

Payment & Enrolment Status Enquiry: 2287 7381
e-mail: finance@hkicpa.org.hk
Fax : 2893 9853

Course Information Enquiry:
2287 7386 / 2287 7253
e-mail: cpd@hkicpa.org.hk

FOR OFFICE USE

Deadline: 7 working days before the date of the programme

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	Email address ^{*(2)} (Block Letters)	Programme Code	Fee (HK\$)
	No.	Status (1)					
1							
2							
3							
4							

* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

Total (HK\$)

☐ I am unemployed and not working. I am planning to rejoin the workforce.

The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.

The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : _____ Tel No. : _____ Fax No. : _____ E-mail : _____

Payment Method (Please tick the appropriate box)

<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" ⁽⁶⁾											
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Card Number: _____										Card Expiry Date (MM/YY): _____	
Cardholder's Name (block letters): _____						Cardholder's Signature: _____				Date: _____	
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above).											

- Notes:
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
 - Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
 - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
 - All applications are on a first-come-first-served basis.
 - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
 - Please issue SEPARATE CHEQUE for each event.
 - NO REFUND/CANCELLATION will be entertained after the application is processed.
 - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
 - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
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 - No unauthorized audio or video recording is allowed at CPD events.

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

(Remarks: For payment by credit card, refund will be made directly to your credit card.)

Name:	Name:
Address:	Address: